

Client Overview



This *Fact Finder* is designed to help gather the required information for your financial planning consultation. The questionnaire's easy-to-follow format will allow you to enter your required personal data and financial details. These items are necessary so we can create a complete and thorough picture of your current and future financial situation.

The following sources will provide us with most of this information:

- ☐ Tax returns
- ☐ Pension statement from employer
- ☐ All life insurance and disability insurance policies
- ☐ Latest statements from trust companies, brokers, investment companies, IRA's, & banks pertaining to investments
- ☐ Budget of personal and living expenses
- ☐ Latest mortgage and other loan statements
- ☐ Latest will and power of attorney

We certainly understand if not all of this information is available during our first visit, but please make an effort to bring any listed items that are available to you. If you are not able to bring any of these items we will contact you should we need them to proceed with your financial planning process.

CLIENT

Given Name: _____
Gender: _____ Date of Birth: _____
(mm/dd/yy)
Social Security Number: _____
Employer: _____ Title: _____

CO-CLIENT

Given Name: _____
Gender: _____ Date of Birth: _____
(mm/dd/yy)
Social Security Number: _____
Employer: _____ Title: _____

Client Information

Marital Structure: _____ Number of Dependents: _____
(e.g. married, divorced, single)
Street: _____ City: _____ State: _____
Country: _____ Zip Code: _____ Home Phone: _____
E-mail: _____ Business Phone: _____
Fax: _____

Name of Child or Dependent	Gender	Date of Birth

How do you feel about saving for your children's college education? _____

Advisors

Attorney: _____
Accountant: _____
Financial Advisor: _____
Power of Attorney: _____
Other: _____

Confidentiality Statement: All information obtain above will be used strictly for the services requested by the client and will not be sold, or redistributed in any way to entities outside this office.